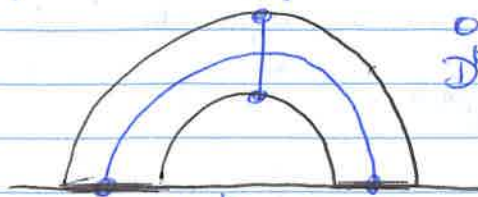


# Orsola - Kirby calculus for Stein manifolds

Ref: Gompf's paper and book.

An  $n$ -dim'l  $k$ -handle is  $D^k \times D^{n-k}$  attached to the boundary of an  $n$ -manifold  $X$ , along  $S^{k-1} \times D^{n-k}$ .

$0 \times D^{n-k} = \text{cocore}$   
 $D^k \times 0 = \text{core}$



$S^{k-1} \times D^{n-k} = \text{attaching region}$   
 $S^{k-1} \times 0 = \text{attaching sphere}$

2-dim 1-handle:



4D: 0-handle =

1-handle  $D^1 \times D^3$ :



$S^0 \times D^3$

notation:

2-handle:  $D^2 \times D^2$ : along a circle. If the circle goes through the 1-handle: get   
along  $S^1 \times D^2$

$f: S^1 \times D^2 \rightarrow S^1 \times D^2$  is the framing  
 $\mu \mapsto p\mu + \lambda$ ;  $r := P$

3D: 0-handle:  $B^3$

1-handle:  $B^1 \times B^2$ , along  $S^0 \times B^2$ :



2-handle:  $B^2 \times B^1$ , along  $S^1 \times B^1$ :  
framings = homeo of annulus  $S^1 \times B^1$ ;  
there are only 2 of them.



thick capping.

3-handle:  $B^3$

4D: 3-handle:  $B^3 \times B^1$ , attached along  $S^2 \times B^1$

4-handle:  $B^4$

If  $\phi(S^{k-1})$  is the attaching sphere, then a framing is  $f: \nu \phi(S^{k-1}) \rightarrow S^{k-1} \times \mathbb{R}^{n-k}$ .

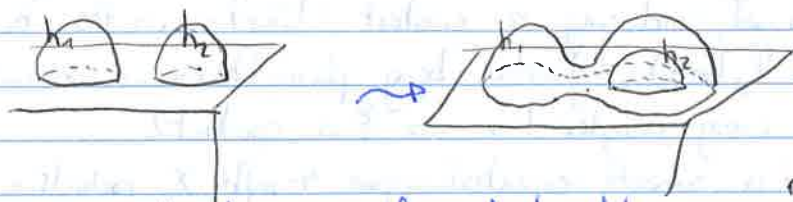
In 4D, we get what's called a Kirby diagram. We'd like to do moves on it, to simplify it.

1) Handle sliding:  $h_1, h_2$  handles of index  $k$ . Take the attaching sphere of  $h_1$ , and slide it over the other one until it's back on the original manifold.

$k=1$   
 $n=2$



$k=2$   
 $n=3$



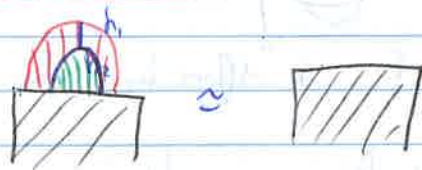
The attaching circles look like:  $k_1 \circlearrowleft \circlearrowright k_2 \rightarrow \text{link}$   
 $r_1 = r_2 + 2 \text{lk}(k_1, k_2)$

In homology: if these 2 handles give  $\alpha_1, \alpha_2 \in H_2(X)$ , this move gives  $\alpha_1 \mapsto \alpha_1 + \alpha_2$ .

Rem: the intersection in homology corresponds to the linking number of the attaching spheres.


2) Handle cancellation:  $h_1$  index  $k$ ,  $h_2$  index  $k-1$

$n=2$ :

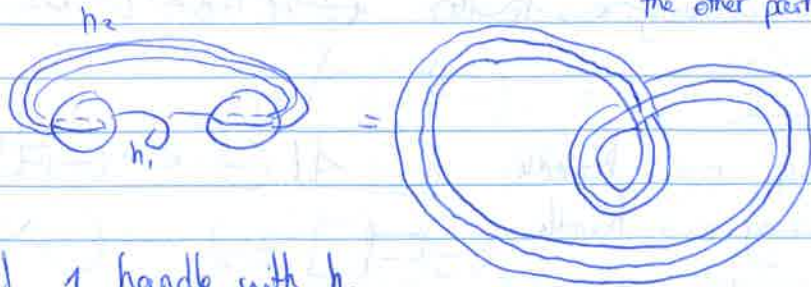


The belt sphere of  $h_1$  and the attaching sphere of  $h_2$  intersect once.

$n=4$ :

a 1-handle and a 2-handle cancel at if   $\subset$  nothing. part of attaching circle of 2-handle; the other part is in the 1-handle from  $\ominus$  to  $\oplus$ .

ex.



: slide the 3 2-handles across the  $\gamma$  2-handle, and then simplify:

cancel 1 handle with  $h_1$

Rem: we should include the framing for the knots we draw (which are the attaching circles of the 2-handles). For the Legendrian case, that framing will be canonical.

### Stein manifolds.

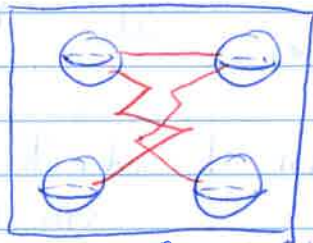
These are the complex manifolds admitting a proper holomorphic embedding into some  $\mathbb{C}^N$ . Equivalently, it admits an exhausting strictly subharmonic function  $f: X \rightarrow \mathbb{R}$  ( $\nabla f(c) = \partial f^{-1}((-\infty, c])$ ).

A Stein domain is a complex compact manifold that admits such an  $f$ .

For  $\mathbb{C}$ -dim = 2, these are called Stein surfaces. They have almost complex structures  $J$  inducing a contact structure on the boundary:  $\xi = T_p(\partial X) \cap J T_p(\partial X)$  (function being plurisubharmonic means that it is harmonic on every complex line  $\Rightarrow \xi$  is contact).

Theorem (Eliashberg) a smooth oriented open 4-manifold  $X$  admits a Stein structure  $\Leftrightarrow$  it is the interior of some handlebody  $H$  such that  
 (a) every handle has index  $k \leq 2$   
 (b) each 2-handle is attached along a Legendrian knot  $k_i$  in  $\xi$  on (0-handle  $\cup$  1-handle), and it has framing  $\text{tb}(k_i) - 1 \in \mathbb{Z}$ .

In Kirby diagram:

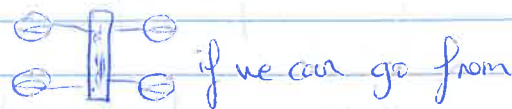


We need the framing to be  $\text{tb}(k_i) - 1$ .

The canonical framing is  $J \in \xi$ ; it differs by 1 from the product framing.

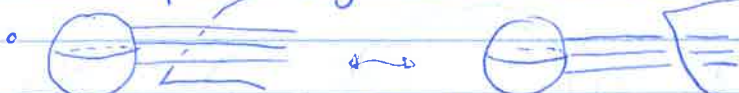
### Theorem [Gompf]

$K_1$  is isotopic to  $K_2$  in "standard form" if we can go from one to the other by one of 6 moves.



- the Reidemeister moves (3 of them)

- slide cusp over handle:



- slide cusp over handle:

- slide crossing over handle: