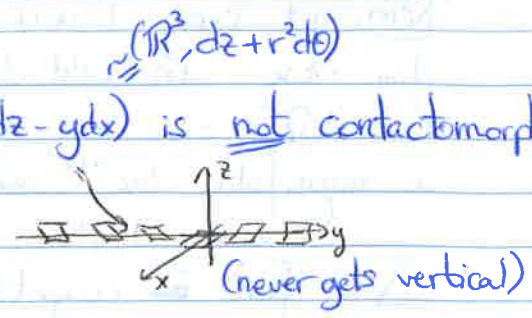
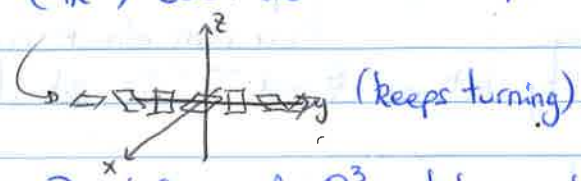


# Roger, Laura - Introduction, types of fillings / fillability

From contact viewpoint:

[Bennequin, 1982]: proves that  $(\mathbb{R}^3, dz - ydx)$  is not contactomorphic to  $(\mathbb{R}^3, \cos r dz + r \sin r d\theta)$ .



Rem:  $\exists$  diffeo of  $\mathbb{R}^3$ , taking  $dz - ydx$  and making it twist in one direction. But  $\cos r dz + r \sin r d\theta$  twists in every direction (ie value of  $\theta$ ).

Newer perspective:

[Gromov 1985]: shows that the compactifications  $(S^3, \xi_0)$  and  $(S^3, \xi_{\text{rot}})$  differ: the 1<sup>st</sup> one "bounds" a symplectic manifold, but not the 2<sup>nd</sup> one.

Proof:  $(S^3, \xi_0)$  bounds a Darboux  $(D^4, \omega_0)$ . Let's prove that  $(S^3, \xi_{\text{rot}})$  does not bound. Suppose it does: it bounds  $(W, \omega = d\lambda)$  (take  $\omega$  exact to be nice). The disk  $\{z=0, r \leq \pi\}$  has a characteristic foliation, looking like [Bishop]<sub>n</sub>



Start filling by homotopic disks in 1-param family. Gromov proves that the family persists, until compactness kicks off.

$\rightarrow$  could have bubble (green), but does not exist by Stokes because  $(W, d\lambda)$  is exact.

$\rightarrow$  2 of disks can ~~not~~ be tangent to leaves of characteristic foliation of  $\partial$  disk by compactness, but this can't happen by the maximum principle.

So one of these 2 must happen, but none can  $\Rightarrow$  contradiction.  $\square$

Picture for that local family.



(1<sup>st</sup> one is constant)

There is an explicit local model in  $\mathbb{C}^2$ , for that family of disks. The  $\partial$  of the disks in that family are in  $\partial D_{\text{rot}}^2$ ; by maximum principle, it can not be tangent to  $\partial D_{\text{rot}}^2$ . These disks are all disjoint.

No!



Rem: not every  $(2n+1)$ -manifold has a filling, like  $\mathbb{C}P^2$  in even dim case. In odd dim case:  $SU_3/SO(3)$ ,  $\mathbb{C}P^2 \times_{\text{conj}} S^1$ .

But:  $\Omega_{2n+1}^u = 0$ , i.e. every  $(Y^{2n+1}, \xi)$  contact is the boundary of a manifold  $W^{2n+2}$  with  $W$  almost complex.

unitary bordism ring  $\hookrightarrow$  or even almost contact  
 $\Delta$  so far, ~~no~~ compatibility between  $\xi$  and  $J$  is not clear.

From symplectic viewpoint:

We want to distinguish  $W_1$  and  $W_2$  via their contact boundaries.

ex:  $V^6 := \{z_0^7 + z_1^2 + z_2^2 + z_3^2 = 0\} \subseteq \mathbb{C}^4$ ; consider  $\mathbb{C}^4 \setminus V$ , then modify its topology a bit (handle attachment); call the new one  $\widetilde{\mathbb{C}^4 \setminus V}$ .

[McLean 2008]:  $\widetilde{\mathbb{C}^4 \setminus V} \stackrel{\text{diff}}{\cong} \mathbb{R}^8$ ; is it symplectomorphic to  $(\mathbb{R}^8, \omega_0)$ ?

By looking at the boundaries (with  $SU^1$ ) (ie contact structure at  $\infty$ ), you can distinguish them. By connect-summing copies of  $\widetilde{\mathbb{C}^4 \setminus V}$ , get only many of these, all not symplectomorphic.

Actually: we distinguish the exact symplectomorphism type.

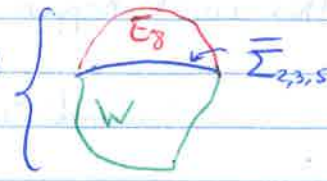
Q1: what about contact structures with no filling? (Day 3/4)


A1: yes, they exist: Seiberg-Witten invariants are a good obstruction for 3-manifolds.

ex:  $\Sigma(2,3,5) = \{z^2 + x^3 + y^5 = 0\} \cap S^5 \subseteq \mathbb{C}^3$  is the Poincaré homology sphere.

In fact,  $\partial E_8 = -\Sigma(2,3,5)$  ( $E_8 = E_8$ -plumbing), smoothly.

Seiberg-Witten:  $\partial$  has positive scalar curvature  $\Rightarrow b_2^+(\text{filling}) = 0$ .

$X^4$  {  By smooth topology (Donaldson's diagonalization),  $X^4$  can not exist.

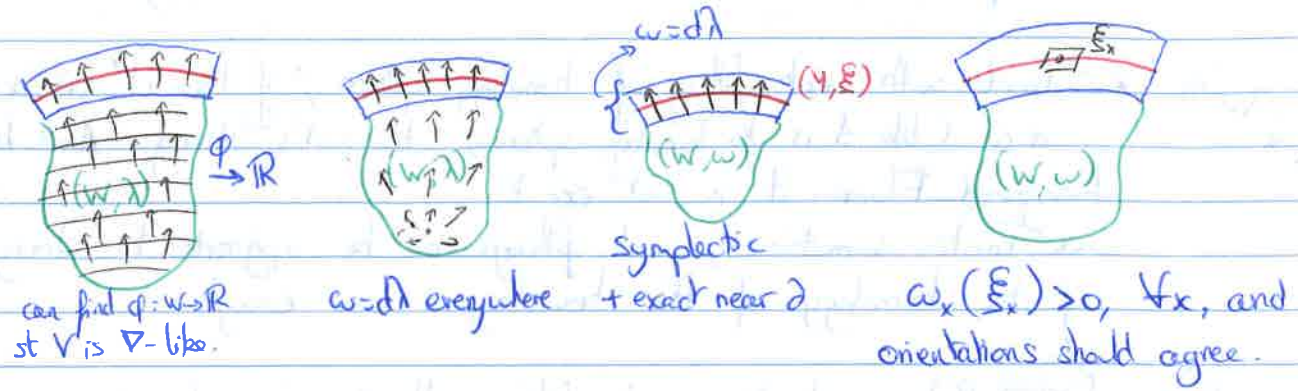
Strange phenomenon: can always find caps:   $(Y, \xi)$ . Also, there is a maximum principle, but no minimum principle.

Now, we'll see the different types of fillings.

(Weinstein)

$$\underline{\text{Stein}} \subseteq \underline{\text{Exact}} \subseteq \underline{\text{Strong}} \subseteq \underline{\text{Weak}} \quad (3D)$$

(black arrows = dual to  $\lambda$ )



Stein, exact & strong induce a contact structure on the boundary; for weak, we are comparing  $\omega$  and  $\mathbb{E}$ .  
 Rem: for Stein, exact, strong: we can concatenate fillings; this is not the case for weak fillings

$\Delta$  distinction between concave and convex ends is subtle; you can not just switch the sign of the forms

Q2: are there contact manifolds with infinitely many Stein fillings?  
 Day 2: yes; we will have  $(Y^3, \mathbb{E})$  admitting a family  $X_n$  of Stein fillings, with  $H_*(X_n) = \mathbb{Z} \oplus \mathbb{Z}_n$  ( $\Rightarrow$  different).  
 Sketch: try to factor elements of  $\Gamma_{g,n}^k$  (mapping class group of genus  $g$  surface) in 2 positive different ways. This uses (day 1) Lefschetz fibrations and open books. This is very algebraic; the only point using Stein-ness is the word "positive" about.

Rem: the inclusions above are all strict! (~~in 3D~~)

Strong  $\neq$  Weak

Examples in 3D:

\*  $(T^3, \mathbb{E}_n)$  with  $\alpha_n = \cos(nx)dy + \sin(nx)dz$ .

Theorem. (1)  $\mathbb{E}_n$  are all weakly fillable (by  $T^2 \times D^2 = T^*T^2$ ).

(2) Only  $\mathbb{E}_1 = 2(T^*T^2)$  is strongly fillable.

Proof: (1) is easy. For (2): take Lagrangian  $T^2_{\text{clifford}} \subseteq (\mathbb{R}^4, \omega_0)$ ; a neighbourhood of this is  $\cong T^*T^2$ . Consider the complement, and take an  $n$ -fold cover; it has  $n$  ends (because the complement had 1 end).

(6)

So it looks like  $\mathbb{R}^n$  at infinity, hence by Gromov there is only one possibility:  $n=1$ . So we can not ~~weak~~ strongly fill it if  $n \neq 1$ .

Exact  $\neq$  strong

\* Start with weak filling of homology sphere; if the  $H^*$  of boundary is nice (like it is for homology spheres), then it's strong. And by Heegaard-Fiber: it is not exact.

$\leadsto$  Trick: sometimes, weak fillings can be upgraded to strong fillings, if the homology of the boundary is nice enough.

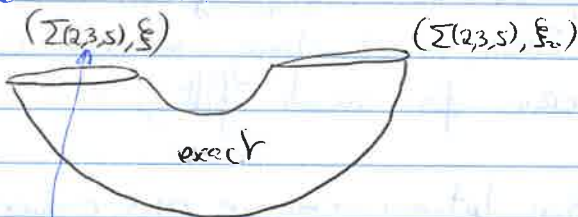
Stein  $\neq$  exact

\* [McDuff]: constructs exact filling with 2 convex boundaries

$\Rightarrow$  there must be a 3-handle

$\Rightarrow$  this can not be Stein.

Other example:



The exact filling is explicit (convex combination of  $E$  and  $E_0$ ). Why can we not Stein-fill it? Attach a 1-handle. If  $(\Sigma(2,3,5), E)$  has a Stein filling, get a contradiction from:

Theorem [Eliashberg] if we have a Stein-filling of  $(Y_1^3, E_1) \# (Y_2^3, E_2)$ , then both  $(Y_i^3, E_i)$  are Stein fillable.

Rem. we have no clue as to what happens in high-dimensions.

ex:  $\mathbb{C}P^5$  does not have Stein filling by homotopical reasons, but we don't know if it has an exact filling.

ex: Stein fillings of exotic structures on  $S^5$  are related to hard questions in other areas (s.t. geometric group theory).